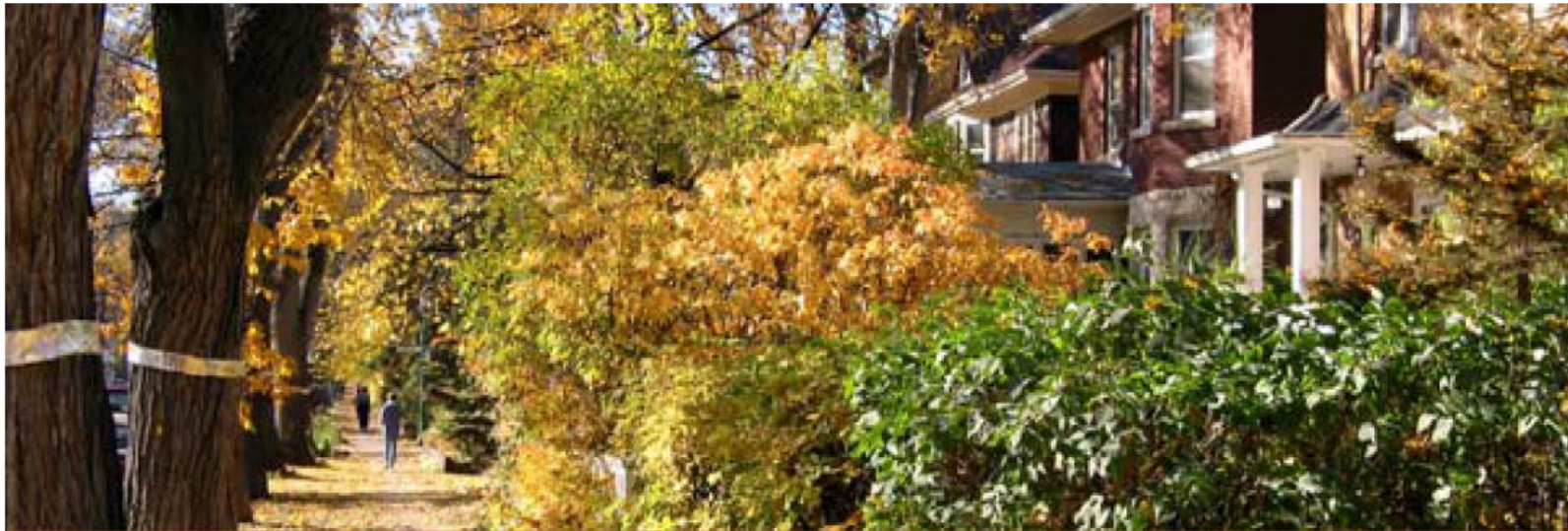




# River Heights-Fort Garry Ward Councillor By-Election



*City of Winnipeg By-law No. 5550/90*

## **Campaign Expenses and Contributions Guide**



City Clerk's Department  
January 2009





## A Message from Marc Lemoine, Senior Election Official

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***A By-Election has been called to fill a vacancy for the Office of Councillor in the River Heights - Fort Garry Ward.***

***The By-Election will be held on Tuesday, March 17, 2009.***

*The material in this guide has been compiled for the convenience of candidates and addresses the regulations on campaign expenses and contributions.*

*In accordance with The City of Winnipeg Charter, Council passed By-law No. 5550/90, the Campaign Expenses and Contributions By-law, which provides for the regulation of expenses and contributions for Mayor and Councillor candidates. This guide addresses those regulations and highlights some of the provisions of the by-law and its practical application.*

*Candidates are responsible for complying with the statutory provisions and the by-law requirements; including the filing of an audited financial statement with the Campaign Expenses and Contributions Officer.*

***NOTHING IN THIS MATERIAL RELIEVES THE CANDIDATE FROM THE RESPONSIBILITY OF COMPLYING WITH THE STATUTORY PROVISIONS AND THE BY-LAW REQUIREMENTS.***

***Candidates who register and file Nomination Papers must file their audited financial statement by May 31, 2010, 4:30 p.m. Candidates who register but do not file Nomination Papers or who file Nomination Papers and withdraw, must file their audited financial statement no later than 60 days after By-Election Day, May 16, 2009, 4:30 p.m.***

*Should you have further questions, please contact:*

*Bill Treytiak, Campaign Expenses and Contributions Officer  
71 Lakeview Cove  
Winnipeg, Manitoba R3X 2B1  
Telephone and Fax: 257-2814  
E-mail: [treytiak@hotmail.com](mailto:treytiak@hotmail.com)*

*The City of Winnipeg Charter is accessible on the Manitoba Provincial Government web site [www.gov.mb.ca/laws](http://www.gov.mb.ca/laws) or may be purchased from Statutory Publications, 200 Vaughan Street (Lower Level), Winnipeg (Telephone 945-3101), if so desired.*

***M. Lemoine***  
***Senior Election Official***  
*City Clerk's Department, City of Winnipeg*



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## CAMPAIGN EXPENSES AND CONTRIBUTIONS OFFICER

The Campaign Expenses and Contributions Officer assists candidates to comply with the provisions of the Campaign Expenses and Contributions By-law.

**All questions on campaign financing are to be made to Bill Treytiak, Campaign Expenses and Contributions Officer.**

The Campaign Expenses and Contributions Officer examines the audited financial statements which all registered candidates are required to file, and obtains any other related information he considers necessary for the purpose of making a report to Council, in accordance with the requirements of the by-law and *The City of Winnipeg Charter*.

***FAILURE OF A REGISTERED CANDIDATE TO COMPLY WITH THE STATUTORY AND BY-LAW PROVISIONS, INCLUDING FAILURE TO FILE AN AUDITED FINANCIAL STATEMENT, CAN RESULT IN PROSECUTION.***

## REGISTRATION OF CANDIDATES

Every person who proposes to be a candidate for Councillor must register *in-person* with the Senior Election Official at the City Clerk's Department, Main Floor, Council Building, 510 Main Street, by filing a **Notice of Registration** form, as outlined on Form 1 contained in the Campaign Expenses and Contributions By-law. (**See attached white pages for Form 1**).

Candidates may register any time commencing **Monday, January 26, 2009 and no later than Monday, February 9, 2009, 4:30 p.m.**

The following information is required on the Notice of Registration form:

- the name and address of the candidate
- the candidate's official agent and auditor
- the name of the chartered bank or other financial institution, including account number(s), where accounts will be established by the candidate for use during his/her election campaign
- a declaration of the candidate's eligibility to be nominated in the election.

The Senior Election Official must be notified in writing immediately of any changes to this information.

A person who files a Notice of Registration becomes a registered candidate on the day of filing. The Senior Election Official keeps a register of every person who has registered. Until a candidate is registered, neither the candidate nor his/her campaign organization is entitled to accept contributions or incur any expenses. Also, a candidate may not spend any of his/her own money on the campaign before registration.

***It is important to note that the candidate registration process and the nomination process are separate.***

A registered candidate will not have his/her name on the ballot until they are nominated. Registration alone does not confirm status as a candidate in the By-Election and being registered does not commit a candidate to file nomination papers.

## **OFFICIAL AGENT**

Every candidate must appoint an **Official Agent** who will be responsible for receiving contributions and authorizing campaign expenses. If the Official Agent ceases to hold office for any reason, the registered candidate must immediately appoint another Official Agent and advise the Senior Election Official in writing of the change.

The Official Agent is responsible for the following:

- a) Keeping proper records of all receipts and expenses;
- b) Ensuring that contributions are placed in accounts listed in the Notice of Registration filed with the Senior Election Official;
- c) Ensuring that proper receipts have been completed;
- d) Ensuring that the audited statement required under Section 14 of the Campaign Expenses and Contributions By-law is prepared;
- e) Ensuring that financial records relating to the election campaign are retained for two years after By-Election Day.



## CAMPAIGN CONTRIBUTIONS

### CONTRIBUTIONS - TO REGISTERED PERSONS ONLY

Contributions to registered candidates may be made by individuals, organizations, corporations and trade unions and may be made only during the campaign period **January 26, 2009 to June 15, 2009**.

### NO FUNDS FROM POLITICAL PARTIES

Contributions cannot be made by a political party registered under *The Canada Elections Act*, or a political party registered under *The Elections Finances Act*, or a constituency association of such registered parties.

### NO CONTRIBUTION UNLESS REGISTERED

Unless a person registers as a candidate, he/she is not permitted to accept contributions or incur election expenses.

### DEPOSIT AND USE OF FUNDS IN ACCOUNT

The official agent for a registered candidate shall ensure that all moneys accepted by or on behalf of a registered candidate must be deposited in the account(s) identified in **Form 1**, and must be used only for the purpose of the election campaign. All payments relating to the campaign must be made by cheque only out of such an account.

### CANDIDATE TO ISSUE RECEIPTS

A registered candidate must provide and issue a receipt for each contribution accepted. Each receipt must be consecutively numbered and must contain all the information required as outlined in **Form 5**.

### RECORD OF CONTRIBUTIONS

Every registered candidate must keep a record of the value of all contributions whether in the form of money, goods or services, and in the case of contributions of more than \$250, the name and address of each contributor.

## **MONEY - IN EXCESS OF \$100**

Monetary contributions to registered candidates in amounts in excess of \$100 must be made by:

- a) Cheque having the name of the contributor legibly printed on it and drawn on an account in the contributor's name;
- b) Money order signed by the contributor; or
- c) Credit card which has the name of the contributor imprinted or embossed on it.

## **CONTRIBUTION LIMIT**

No individual, corporation, organization or trade union shall make a contribution in money, goods or services to any registered candidate for the Office of Councillor **which in total exceeds \$750** in value during the campaign period

## **CANDIDATE'S OWN FUNDS**

Any moneys used for an election campaign by a registered candidate out of the registered candidate's own funds or those of the spouse of a registered candidate shall be considered to be a contribution. The \$750 contribution limit does not apply to a candidate's own funds, but in any case, the total spending limits for candidates for Councillor as outlined in Section 11(4) and 11(5) of the by-law, cannot be exceeded.

Every registered candidate must submit to the Campaign Expenses and Contributions Officer at the same time as the audited statement is filed, a statement setting out campaign expenses paid or to be paid out of the candidate's own funds or of his/her spouse. **(See Form 4, Schedule 1)**

## **REFUND OF CONTRIBUTIONS**

If a registered candidate learns of any contributions received in contravention of the Campaign Expenses and Contributions By-law, the registered candidate or the official agent shall return the contribution or an amount equal to the sum contributed, within 30 days upon obtaining the contributor's copy of the receipt.

## **ANONYMOUS CONTRIBUTIONS**

The official agent of a registered candidate shall ensure that an anonymous contribution received by a registered candidate must not be used or spent, but must be paid over to the Senior Election Official, and become part of the general revenue of the City.

## **THIRD PARTY AND GROUP CONTRIBUTIONS**

Moneys expended by a person, organization, corporation or a trade union to promote a candidate must be acknowledged as a contribution. In circumstances where moneys are expended by a person, organization, corporation or a trade union to promote a group of candidates, the candidates must acknowledge the contribution on a pro-rated basis.

## **FUND RAISING EVENTS**

Fund-raising events held by or on behalf of a registered candidate must be held only during the campaign period. The gross income, as well as any revenue made from a fund-raising event, must be recorded and included in the audited financial statement and reported to the Campaign Expenses and Contributions Officer.

Any expenses incurred in holding a fund-raising event are excluded from the campaign expense limitation of the candidate, that is, they are not counted as a campaign expense insofar as the amount a candidate can spend on his campaign. No money shall be contributed anonymously into a general collection at a fund-raising event in excess of \$10.

## **CAMPAIGN EXPENSES**

### **RECORDS MAINTAINED**

Every registered candidate must keep a record of all campaign expenses.

## EXPENSE LIMITATION

Total campaign expenses incurred by a registered candidate are determined by a formula described in the by-law. The Campaign Expenses and Contributions Officer will provide each candidate with a preliminary estimate of a candidate's expense limitation at the beginning of the campaign period and will certify the exact campaign expense limitation by **February 3, 2009**. The campaign expense limitation is set by formula based upon the number of electors as identified by the Senior Election Official. **(See Sections 11(4) and 11(5) of Campaign Expenses and Contributions By-law No. 5550/90)**

## AUDITED FINANCIAL STATEMENT

Each registered candidate must file with the Campaign Expenses and Contributions Officer, an audited financial statement prepared by an auditor appointed by the candidate that contains the following information:

- a) all income received and expenses incurred;
- b) the name, address and contribution of each contributor of an amount over \$250;
- c) an itemized list of campaign expenses incurred;
- d) the expenses and contributions relating to each fund-raising event;
- e) particulars of any loan made by the candidate for the purpose of the election campaign.

**Candidates who register and file Nomination Papers must file their audited financial statement with the Campaign Expenses and Contributions Officer by May 31, 2010, 4:30 p.m.**

**Candidates who register but do not file Nomination Papers, or who file Nomination Papers and withdraw, must file their audited financial statement with the Campaign Expenses and Contributions Officer no later than 60 days after the By-Election, May 16, 2009, 4:30 p.m.**

Any person who is elected and fails to file their audited financial statement within 60 days after May 31, 2010 will be disqualified from office. Any other registered candidate who fails to file their audited financial statement will result in that individual not being eligible to be nominated in an election as a candidate until after the next Civic Election and subject to prosecution.

Where the Campaign Expenses and Contributions Officer finds that the audited statement is incorrect or incomplete, he shall notify the registered candidate that he or she must file a further audited statement by June 30, 2010, with the Campaign Expenses and Contributions Officer.

Audited financial statements will be available for inspection upon request by any person during regular office hours.

## **REBATE OF ELECTION CONTRIBUTIONS**

The Rebate of Election Contributions By-law No. 91/2004, entitles persons, including registered candidates, to receive a rebate for their contribution. The maximum contribution to any registered candidate for the Office of Councillor is \$750.00.

At the time of registration, candidates will be provided with Contribution Rebate Application forms.

### **CALCULATION OF REBATE**

Rebates are calculated as follows:

- For contributions between \$25.00 and \$300.00, the rebate is 75% of the contribution
- For contributions between \$301.00 and \$1,000.00, the rebate is \$225.00 plus 50% of the difference between the contribution and \$300.00
- For contributions of more than \$1,000.00, the rebate is the lesser of:
  - i) \$575.00 plus 33 1/3% of the difference between the contribution and \$1,000.00;
  - ii) \$1,000.00

Contributions of less than \$25.00 will not receive a rebate.

An applicant who makes contributions to more than one candidate may apply for a rebate in respect of each contribution, but is not entitled to receive total rebates amounting to more than the maximum calculations above.

Please see table on Page 8 for sample calculations of contribution rebates.

### **TO RECEIVE A REBATE**

To receive a rebate, the contributor must:

- complete the rebate application provided by the candidate
- mail the application to the City Clerk, City of Winnipeg, City Clerk's Department, Council Building, 510 Main Street, Winnipeg, MB, R3B 1B9.
- The deadline for receipt of rebate applications by the City Clerk is May 17, 2010

It is important to note that in order for the contributor to receive a rebate, the registered candidate must comply with all legislative provisions including filing his/her audited financial statement following an election and providing the City Clerk with a copy of all receipts for contributions.

Eligible rebates will be mailed out to contributors in the summer of 2010.

**SAMPLE CALCULATIONS OF CONTRIBUTION REBATES**

The amount of rebate paid to a contributor is based upon the total amount of all the contributions the contributor made to candidates in the election. For example, if a contributor gave \$500 each to three different candidates, the rebate is calculated based on the total contribution of \$1,500.00. The rebate payable on the \$1,500.00 would be \$741.67.

<b>TOTAL CONTRIBUTIONS TO ALL CANDIDATES</b>	<b>AMOUNT OF REBATE</b>	<b>TOTAL CONTRIBUTIONS TO ALL CANDIDATES</b>	<b>AMOUNT OF REBATE</b>
less than \$25	0.00	1,100.00	608.33
25.00	18.75	1,150.00	625.00
35.00	26.25	1,200.00	641.67
45.00	33.75	1,250.00	658.33
50.00	37.50	1,300.00	675.00
100.00	75.00	1,350.00	691.67
150.00	112.50	1,400.00	708.33
200.00	150.00	1,450.00	725.00
250.00	187.50	1,500.00	741.67
300.00	225.00	1,550.00	758.33
350.00	250.00	1,600.00	775.00
400.00	275.00	1,650.00	791.67
450.00	300.00	1,700.00	808.33
500.00	325.00	1,750.00	825.00
550.00	350.00	1,800.00	841.67
600.00	375.00	1,850.00	858.33
650.00	400.00	1,900.00	875.00
700.00	425.00	1,950.00	891.67
750.00	450.00	2,000.00	908.33
800.00	475.00	2,050.00	925.00
850.00	500.00	2,100.00	941.67
900.00	525.00	2,150.00	958.33
950.00	550.00	2,200.00	975.00
1,000.00	575.00	2,250.00	991.67
1,050.00	591.67	2,275.00	1,000.00
		over 2,275	1,000.00

**The maximum contribution to any registered candidate for the Office of Councillor is \$750.00.**

## OFFICE CONSOLIDATION

This consolidation has been prepared for the convenience of the user

### THE CAMPAIGN EXPENSES AND CONTRIBUTIONS BY-LAW NO. 5550/90

A by-law of The City of Winnipeg providing for the regulation of expenses and contributions for candidates for Mayor and Councillor. (Passed by Council on September 19, 1990)

#### DEFINITION:

1. This by-law shall be known as "**The Campaign Expenses and Contributions By-law**".

2. In this by-law

"**campaign expense**" means

- (a) money spent or liabilities incurred; and
- (b) the value of donations in kind accepted;

in respect of goods used or services provided, by or on behalf of, and with the knowledge and consent of, a registered candidate during a campaign period, for the purpose of an election, but does not include

- (c) audit fees; and
- (d) an expense relating to a recount in respect of the election;

"**campaign period**" for an election means the period

- (a) in a general election
  - (i) in the case of a candidate for mayor, beginning on May 1 in the year of the election and ending on March 31 of the year after the election, and
  - (ii) in the case of other candidates, commencing beginning on June 30 in the year of the election and ending on March 31 of the year after the election; and

- (b) in an election to fill a vacancy, beginning on the day when the senior election official receives the direction from the city clerk to hold the election and ending on the day that is 90 days after the election.

**"contribution"** means any money paid or any donation in kind provided by a contributor to or for the benefit of a registered candidate, without compensation from the registered candidate;

**"contributor"** means an individual, organization, corporation or trade union, but does not include

- (a) a political party registered under the *Canada Elections Act*, or a constituency association of such a registered party, or
- (b) a political party registered under *The Elections Finances Act* or a constituency association of a registered political party;

**"donation in kind"** means goods or services provided to or for the benefit of a registered candidate without compensation from the registered candidate, and includes

- (a) services of an employee provided by an employer;
- (b) goods produced or donated voluntarily by a person or organization that is a commercial supplier of the goods; and
- (c) services provided voluntarily by a person or organization that is a commercial or occupational supplier of the services;

and does not include

- (d) money;
- (e) goods produced or donated voluntarily, other than in clause (b); and
- (f) services provided voluntarily, other than in clause (c);

**"organization"** means an unincorporated association;

**"registered candidate"** means a candidate registered under Section 5;



"**Returning Officer**" is the Returning Officer for the City of Winnipeg;

"**trade union**" means a trade union as defined in *The Labour Relations Act* or the *Canada Labour Code* that holds bargaining rights for employees in Manitoba to whom those Acts apply and includes any central, regional or district labour council in Manitoba.

### **VALUE OF DONATION IN KIND**

3. The value of a donation in kind is
  - (a) the market value of the goods or services at the time of the donation; or
  - (b) the cost to the employer of the salary or wages of an employee whose services are provided.

### **CAMPAIGN EXPENSES AND CONTRIBUTIONS OFFICER**

#### **DUTIES OF AND APPOINTMENT**

4. There shall be a Campaign Expenses and Contributions Officer for the City of Winnipeg who shall be appointed by by-law, upon recommendation of the Returning Officer, who shall:
  - (1) assist registered candidates to comply with the provisions of this by-law relating to campaign expenses and contributions;
  - (2) examine audited financial statements filed by registered candidates;
  - (3) obtain such other information as the Campaign Expenses and Contributions Officer considers necessary for the purpose:
    - (a) of making a report to Council on any apparent failure of a registered candidate to comply with the provisions of this by-law; or
    - (b) of making a report to Council on any other matters set out in this by-law.

**REGISTRATION**

**NOTICE OF REGISTRATION OF PROSPECTIVE CANDIDATE**

5. The returning officer shall register a person who proposes to be a candidate in an election if
- (a) during the campaign period and before nominations close, the person makes application for registration in the form required (Form 1) by the returning officer and containing
    - (i) the name and address of the candidate, the candidate's official agent, the candidate's auditor and any chartered bank or other financial institution in which accounts are to be used by or on behalf of the candidate for the purpose of the election campaign, and the numbers of such accounts; and
    - (ii) any other information required by the returning officer; and
  - (b) the returning officer is satisfied that the person is eligible to be nominated in the election.

**REPORT OF CHANGE OF INFORMATION**

6. Where the information required under Section 5 is altered, the registered candidate shall immediately notify the Returning Officer in writing of the alteration. (Form 1)

**OFFICIAL AGENT**

**DUTIES OF OFFICIAL AGENT**

7. A person who proposes to be a candidate in an election shall appoint an official agent, who shall be responsible for receiving contributions and authorizing campaign expenses and for ensuring that
- (a) proper records are kept of receipts and expenses;
  - (b) contributions are placed in accounts listed in the notice of registration filed with the Returning Officer;

- (c) proper receipts are completed;
- (d) the audited statement required under Section 14 is prepared; and
- (e) financial records relating to the election campaign are retained for two years after election day.

### **CAMPAIGN CONTRIBUTIONS**

#### **CONTRIBUTIONS - TO REGISTERED PERSONS -WHEN AND BY WHOM**

8. (1) Contributions to registered candidates may be made only by individuals, organizations, corporations and trade unions and may be made only during the campaign period.

#### **NO CONTRIBUTION UNLESS REGISTERED**

- (2) Unless a person registers as a candidate under Section 5, the person, or any individual, corporation, organization or trade union acting on behalf of the person, shall not accept contributions or incur expenses for the purpose of the election of the person.

#### **DEPOSIT AND USE OF FUNDS IN ACCOUNT**

- (3) The official agent for a registered candidate shall ensure that all moneys accepted by or on behalf of a registered candidate are deposited in an account or accounts as provided under Section 5 and are used only for the purpose of the election campaign, and all payments relating to or arising out of the campaign are made by cheque only out of such an account.

#### **CANDIDATE TO ISSUE RECEIPTS**

- (4) A registered candidate shall provide and issue a receipt for each contribution accepted. Each receipt shall be consecutively numbered and shall contain all the information required in the prescribed form. (Form 5)

**RECORD OF CONTRIBUTION**

- (5) Every registered candidate shall keep a record of all contributions, whether in the form of money, goods or services and in the case of a single contribution of more than \$250.00 of contributions from a single source that in the aggregate or total of more than \$250.00, the name and address of the contributor.

**MONEY - IN EXCESS OF \$100 - HOW CONTRIBUTED**

- (6) Money contributions to registered candidates in amounts in excess of \$100 shall only be made by
  - (a) a cheque having the name of the contributor legibly printed on it and drawn on an account in the contributor's name;
  - (b) a money order signed by the contributor; or
  - (c) in the case of money contributions by an individual, the use of a credit card having the name of the individual contributor imprinted or embossed on it.

**CONTRIBUTION LIMIT**

- (7)
  - (a) No individual, corporation, organization or trade union shall make a contribution in money, goods and services to any registered candidate for the office of Mayor which in total exceeds \$1500 in value during any campaign period.
  - (b) No individual, corporation, organization or trade union shall make a contribution in money, goods and services to any registered candidate for the office of Councillor which in total exceeds \$750 in value during any campaign period.

**CANDIDATE'S OWN FUNDS**

- (8) Any moneys used for an election campaign by a candidate out of the candidate's own funds, or those of the candidate's spouse, shall be considered to be a contribution for the purpose of this by-law but the limit on the amount of contributions established in this by-law does not apply in respect of such funds but in any case shall not exceed the limitations provided in subsection 11(4) and 11(5) as the case may be.

**CANDIDATE - SPOUSE - STATEMENT - DISBURSEMENTS - OWN FUNDS**

- (9) Every registered candidate shall submit to the Campaign Expenses and Contributions Officer at the same time as the audited statement is filed under Section 14, a statement in writing setting out campaign expenses paid or to be paid out of the registered candidate's own funds or those of the spouse of the registered candidate, together with all receipts and claims for those expenses. (see Form 4 Schedule 1)

**CONTRIBUTOR - USE OF OWN FUNDS - ONLY**

- (10) No individual, corporation, organization or trade union shall contribute to any registered candidate funds not actually belonging to that individual, corporation, organization or trade union.

**CONTRIBUTOR - USE OF ESTATE FUNDS - EXCEPTION**

- (11) Subsection (10) does not apply to the personal representative of the estate of a person who has died leaving a will where the deceased person has directed in the will that the personal representative make a contribution to a named registered candidate out of the funds of the estate.

**CONTRIBUTION - CONTRARY TO SUBSECTION (7) - PROHIBITED**

- (12) No registered candidate and no individual, corporation, organization or trade union on behalf of the registered candidate shall solicit or accept any contribution contrary to subsection (7).

**CONTRIBUTIONS BY ORGANIZATION**

- (13) An organization other than a trade union that makes a contribution to a registered candidate shall provide the registered candidate with a statement that indicates the individual sources and amounts making up the funds of the organization from which the contribution is made, and the registered candidate shall include with the audited statement filed with the Campaign Expenses and Contributions Officer after the election, the name of any individual in the organization whose contribution exceeds the amount established under subsection (5).

**CONTRIBUTION - GROUP - LIMITATION**

- (14) The amounts making up a contribution under subsection (13) that are attributable to an individual, corporation, organization or trade union are contributions of that individual, corporation or trade union for the purposes of subsection (7).

**REFUND - OF CONTRIBUTION - IMPROPERLY MADE**

- (15) If the registered candidate or the official agent of the registered candidate learns that any contribution received by or on behalf of the registered candidate was made or received in contravention of this by-law, the registered candidate or the official agent of the registered candidate shall, within thirty days after so learning and upon obtaining the contributor's copy of the receipt issued under subsection (4) in respect of that contribution, return the contribution or an amount equal to the sum contributed.

**ANONYMOUS CONTRIBUTIONS**

- (16) The official agent of a registered candidate shall ensure that an anonymous contribution received by a registered candidate shall not be used or spent, but shall be paid over to the Returning Officer, and become part of the general revenue of the city.

**CONTRIBUTION - UNION - PAYROLL DEDUCTION - LIMITATION**

- (17) Contribution of not more than 15 cents per month by a member of a bargaining unit represented by a trade union through payroll deductions shall not be considered contributions from an individual for the purpose of this section, but any amount contributed to a registered candidate shall be deemed to be a contribution from the trade union.

**PROHIBITION RE: CONTRIBUTIONS**

- (18) A Registered Candidate shall not accept a contribution from a person who is not a contributor as defined in Section 2.

**FUND-RAISING EVENT**

9. (1) In this section, "fund-raising event" means events or activities held for the purpose of raising funds for the registered candidate by whom or on whose behalf the event is held.

**CAMPAIGN PERIOD - TO BE HELD WITHIN**

- (2) A fund-raising event held by or on behalf of a registered candidate shall be held only during the campaign period.

**GROSS INCOME - TO BE REPORTED TO THE CAMPAIGN EXPENSES AND CONTRIBUTIONS OFFICER**

- (3) The gross income from a fund-raising event shall be recorded and included in the audited financial statement and reported to the Campaign Expenses and Contributions Officer by the registered candidate or the official agent for the registered candidate.

**FUND-RAISING REVENUE - CONSIDERED CONTRIBUTION**

- (4) Any revenue made at a fund-raising event by the sale of tickets or otherwise shall be considered a contribution; and expenses incurred in holding a fund-raising event shall be excluded from the Campaign Expense Limitation.

**GOODS-SERVICES-OFFERED FOR SALE -EXCESS OF MARKET VALUE**

- (5) Any amount paid for goods or services offered for sale at a fund-raising event in excess of current market value shall be considered a contribution.

**COLLECTION - AT MEETING - NOT IN EXCESS OF \$10 PER CAPITA**

10. If a meeting is held on behalf of or in relation to the affairs of a registered candidate and money is given in response to a general collection or money solicited from the persons in attendance at the meeting, such meeting shall be considered a fund raising event and no amount shall be given anonymously by any person in excess of \$10 and the amounts so given shall be considered not to be contributions, but the gross amount collected shall be recorded and reported to the Campaign Expenses and Contributions Officer by the registered candidate or the official agent for the registered candidate. Amounts given in respect to a general collection of money at such meeting of \$10 or less shall not be considered to be anonymous contributions within the meaning of subsection 8(16).

**CAMPAIGN EXPENSES**

**AUTHORITY - TO INCUR - DIRECTION OF CANDIDATE**

11. (1) The campaign expenses of a registered candidate shall be incurred only under the direction of the registered candidate by persons authorized by the registered candidate.

**CERTIFICATE - OF AUTHORITY - PRESCRIBED FORM**

- (2) Every person authorized to incur a campaign expense shall, upon request, show a certificate, in the prescribed form, signed by the registered candidate as proof of the authority. (Form 2)

**RECORD - MAINTAINED BY CANDIDATE**

- (3) Every registered candidate shall keep a record of all campaign expenses.

**LIMITATION - MAYOR**

- (4) The total campaign expenses incurred by a registered candidate in an election for the office of Mayor and any individual, corporation, organization or trade union acting on behalf of that registered candidate during the campaign period shall not exceed the aggregate amount of \$0.30 per elector for the year 1994, and the total campaign expenses incurred during the campaign period in succeeding years, shall be in accordance with the following formula

$$M = N \times \$0.30 \times \frac{IE}{IB}$$

M = The maximum amount permitted for election expenses for a registered candidate for Mayor for the year in which the election or by-election is being held.

N = The number of electors in the City according to the most recent List of Electors.



IE = The Consumer Price Index for the City of Winnipeg published by Statistics Canada for the month two months prior to the commencement of the campaign period for Mayor and if the Consumer Price Index is not available for that month, the Consumer Price Index for the month immediately prior thereto which is available.

IB = The annual average Consumer Price Index for the City of Winnipeg published by Statistics Canada for the year 1994.

**LIMITATION - COUNCILLOR**

- (5) The total campaign expenses incurred by a registered candidate in an election for the office of a Councillor and any individual, organization, corporation or trade union on behalf of the registered candidate during the campaign period shall not exceed the amount determined by multiplying \$0.75 by the number of electors in the ward to which a registered candidate is seeking election for the year 1994, according to the most recent List of Electors, and the total campaign expenses incurred during the campaign period in succeeding years, shall be in accordance with the following formula.

$$M = N \times \$0.75 \times \frac{IE}{IB}$$

M = The maximum amount permitted for election expenses for a registered candidate for Councillor for the year in which the election or by-election is being held.

N = The number of electors in the ward in which the registered candidate for Councillor is seeking election according to the most recent List of Electors.

IE = The Consumer Price Index for the City of Winnipeg published by Statistics Canada for the month two months prior to the commencement of the campaign period for Councillors and if the Consumer Price Index is not available for that month the Consumer Price Index for the month immediately prior thereto which is available.

IB = The annual average Consumer Price Index for the City of Winnipeg published by Statistics Canada for the year 1994.

**CERTIFICATION OF CAMPAIGN EXPENSES**

- (6) After determining the number of electors in the List of Electors the Campaign Expenses and Contributions Officer shall calculate, for each office, the maximum amount of campaign expenses that may be incurred by a registered candidate under subsections (4) and (5), as applicable, certify this amount and, no later than five days after nomination day, deliver or send by registered mail a copy of the certificate to each registered candidate for the office. (Form 3)

**MAXIMUM CAMPAIGN EXPENSES - CERTIFICATION - CONCLUSIVE**

- (7) Certification of the maximum amount of total campaign expenses that may be incurred by a registered candidate for the office by the Campaign Expenses and Contributions Officer under subsection (6) shall be conclusive evidence of that fact and shall not be open to challenge.

**CLAIM FOR ELECTION EXPENSES**

- (8) A person who has a claim for payment in relation to an election shall submit the claim within 30 days after election day to the registered candidate who incurred the expense.

**PAYMENT - MORE THAN \$50 - RECEIPT TO BE OBTAINED**

- (9) Every payment of a campaign expense shall be made by the registered candidate or the official agent for the registered candidate who incurred or on whose behalf the campaign expense was incurred and, except where the campaign expense is less than \$50, a receipt shall be obtained setting out the particulars and proof of payment.

**EXPENSES OVER LIMIT**

- (10) No registered candidate and no individual, corporation, organization or trade union acting on behalf of the registered candidate shall incur expenses in excess of the limits proposed under subsection 11(4) or 11(5) as the case may be.

**CLAIM - DISPUTED - REFUSED**

- (11) If the registered candidate disputes or refuses to pay any claim for payment in relation to a campaign expense, that claim shall be considered to be a disputed claim and shall be declared as such in the audited financial statement. (Form 4).

**BORROWING**

**LOAN - TERMS - GUARANTOR - REPORTED TO CAMPAIGN EXPENSES AND CONTRIBUTIONS OFFICER**

12. (1) A registered candidate may borrow from any chartered bank or other recognized lending institution in Manitoba, if the loan and its terms, including the name of any guarantor of a loan, are recorded by the registered candidate and reported to the Campaign Expenses and Contributions Officer in the audited financial statement filed under Section 14.

**LOAN - OTHER THAN BANK - LENDING INSTITUTION - PROHIBITED**

- (2) No registered candidate shall receive a loan from any individual, corporation, organization or trade union other than from a chartered bank or other recognized lending institution as set out in subsection (1).

**COLLATERAL SECURITY - LOAN GUARANTEE - PROHIBITED**

- (3) Subject to subsection (4) no individual, corporation, organization or trade union shall sign, co-sign or provide collateral security for any loan, monetary obligation or indebtedness for or on behalf of any registered candidate.

**BANK - LENDING INSTITUTION - GUARANTEE - EXCEPTION**

- (4) An individual, corporation, organization or trade union that is eligible to make a contribution may guarantee any loan referred to in subsection(1).

**GUARANTEE - PAYMENT - CONSIDERED CONTRIBUTION**

- (5) A guarantee or a payment made by a guarantor in respect of a loan referred to in subsection (1) is considered to be a contribution under Section 8.

**CONTRIBUTION EXCEEDING CONTRIBUTION LIMIT**

13. (1) Where a registered candidate receives a contribution for an amount that exceeds the contribution limit prescribed by subsection 8(7), the official agent of the registered candidate shall return to the contributor the portion of the contribution that exceeds the prescribed contribution limit.

**PAYMENT OF SURPLUS MONEY TO CITY**

- (2) Where the audited statement of a registered candidate filed under subsection 14(1) shows a surplus, the official agent of the registered candidate shall immediately pay the surplus to the City which shall hold it in trust on behalf of the registered candidate for use by the registered candidate in the next election.

**RELEASE OF SURPLUS MONEY TO CANDIDATE**

- (3) The City shall not release any money held in trust under subsection (2) until the person on whose behalf the money is held is registered under Section 5 for the next election.

**DISPOSAL OF SURPLUS MONEY HELD IN TRUST**

- (4) Where the person on whose behalf money is held in trust under subsection (2):
- (a) advises the Returning Officer in writing that he or she will not seek nomination;
  - (b) is not nominated; or
  - (c) does not register as a candidate under Section 5;

in the next election, the money shall be paid into the general funds of the City.

**AUDITED STATEMENT**

**CANDIDATE TO FILE AUDITED STATEMENT**

14. (1) A registered candidate shall file with the Campaign Expenses and Contributions Officer, an audited financial statement that shall include the following information in regard to the campaign period:
- (a) all income received and expenses incurred;
  - (b) the name, address and contribution of each contributor that makes a contribution to the registered candidate for more than the amount specified under Section 8(5);
  - (c) an itemized list of campaign expenses incurred by the registered candidate;
  - (d) the expenses and contributions relating to each fund raising event, in accordance with Section 9;
  - (e) particulars of any loan made by the candidate for the purpose of the election campaign, including the name of the lender, the principal amount, interest rate, and terms of repayment. (Form 4)

**TIME FOR FILING AUDITED STATEMENT**

- (2) A registered candidate shall file an audited statement under subsection (1)
- (a) if he or she is nominated as a candidate in the election and does not withdraw, not later than May 31 in the year following the election; and
  - (b) if he or she is not nominated as a candidate in the election or is nominated but withdraws, not later than 60 days after election day.

**FILING FURTHER AUDITED STATEMENT**

- (2.1) Where the Campaign Expenses and Contributions Officer finds that the audited statement is incorrect or incomplete, he shall so notify the registered candidate that he or she shall not later than June 30th in the year following the election file a further audited statement with the Campaign Expenses and Contributions Officer containing the information required in Subsection 14(1)."

**AUDITOR**

**CANDIDATE TO APPOINT AUDITOR**

- (3) The audited financial statement required under subsection (1) shall be prepared by an auditor who is appointed by the registered candidate and who shall not be involved in the election as a returning officer, deputy returning officer, election clerk, candidate or official agent, or in raising funds for a registered candidate.

**QUALIFICATIONS OF AUDITOR**

- (4) An auditor who prepares an audited statement required under subsection (1) shall be a professional accountant who is a registered member in good standing of an institute, association or society of accountants established by an Act of the Legislature.

**DOCUMENT ACCESS**

**INSPECTION BY PUBLIC**

- (5) After the audited financial statement has been examined by the Campaign Expenses and Contributions Officer for compliance under the provisions of this by-law and *The City of Winnipeg Charter* it shall then be forwarded to the Returning Officer and the statement shall be open to inspection by any person during regular office hours, and a copy shall be provided on payment of a fee fixed by the Returning Officer.

**DEFAULT - CONSEQUENCE**

**FAILURE TO FILE BY ELECTED CANDIDATE**

15. (1) Where a registered candidate who is elected fails to file the completed audited financial statement referred to in subsection 14(1) within the time specified in subsection 14(2), the Campaign Expenses and Contributions Officer shall send a written report of the failure to the Returning Officer who shall immediately file same with the presiding officer of council, who shall lay the report before council at its next meeting and, until the audited financial statement is filed with the Campaign Expenses and Contributions Officer, the registered candidate shall not sit in council.

**FORFEITURE OF OFFICE**

- (2) Where a registered candidate who is elected fails to file the audited financial statement referred to in subsection (1) within 60 days after May 31st in the year following the election, the person shall be disqualified from office and the seat declared vacant, and the person is not qualified to be a candidate at the election to fill the vacancy.

**FAILURE TO FILE BY OTHER CANDIDATES**

- (3) A person who, as a registered candidate in an election, is not nominated as a candidate in the election or is nominated and is defeated or withdraws and fails to comply with subsection 14(2) (time for filing audited statement) is not eligible to be nominated as a candidate until after the next election described in Section 44(3) of *The City of Winnipeg Charter*.

**OFFENCE AND PENALTY**

16. A person who contravenes or fails to comply with any provision of this by-law is guilty of an offence and liable to a fine not exceeding \$5,000.00.

**TIME LIMITS ON PROSECUTION**

17. Notwithstanding *The Summary Convictions Act*, a prosecution under this by-law may be initiated at any time within six months from the time the Campaign Expenses and Contributions Officer becomes aware of the alleged offence, but no prosecution may be initiated more than two years after the date of the occurrence of the alleged offence.

## **THE REBATE OF ELECTION CONTRIBUTIONS BY-LAW NO. 91/2004**

A by-law of The City of Winnipeg to authorize the payment of rebates for contributions made to registered candidates in a municipal election. (Passed by Council May 19, 2004)

**WHEREAS** *The City of Winnipeg Charter* provides that Council may by by-law establish a program entitling a contributor of a contribution to a registered candidate to a rebate of part of the contribution;

**NOW THEREFORE THE CITY OF WINNIPEG**, in Council assembled, enacts as follows:

1. Short Title

This By-law shall be known as "**The Rebate of Election Contributions By-law**".

2. Definitions

All words and phrases in this By-law shall have the same meaning as in By-law No. 5550/90.

3. Authorization and Process

Any contributor making a contribution to a registered candidate in any future City of Winnipeg municipal election shall be eligible to apply to the City Clerk for a rebate in accordance with the terms of this By-law.

4. In addition to the requirements of By-law No. 5550/90, each registered candidate shall submit a copy of all receipts issued for contributions in accordance with that By-law to the City Clerk by May 31<sup>st</sup> in the year following an election.

5. In order to receive a rebate:

- (1) the registered candidate must have filed his or her audited financial statement by May 31<sup>st</sup> in the year following an election and provide the City Clerk with a copy of all receipts for contributions issued under and in accordance with By-law No. 5550/90;
- (2) the contributor must file an application for a rebate in the form, and with the content, established by the City Clerk for that purpose and provide a receipt issued for a contribution in accordance with By-law No. 5550/90;
- (3) the application and supporting material is filed on or after June 1<sup>st</sup> in the year following the election and prior to 14 months in the year following the election.



- (4) the City Clerk is satisfied that the receipt filed by the contributor and the copy filed by the registered candidate are consistent.

6. Calculation of Rebate

- (1) Subject to Section 7, the rebate shall be calculated as follows:
  - (a) If the contribution is \$300.00 or less, the rebate is 75 per cent of the contribution;
  - (b) If the contribution is more than \$300.00 but not more than \$1,000.00, the rebate is \$225.00 plus 50 per cent of the difference between the contribution and \$300.00;
  - (c) If the contribution is more than \$1,000.00, the rebate is the lesser of:
    - (i) \$575.00 plus 33 1/3 per cent of the difference between the contribution and \$1,000.00; or
    - (ii) \$1,000.00.
- (2) Contributions of less than \$25.00 will not receive a rebate.

7. An applicant who makes contributions to more than one candidate may apply for a rebate in respect of each contribution, but is not entitled to receive total rebates amounting to more than the following maximums:

- (1) If the total of the applicant's contribution to all candidates is \$300.00 or less, the maximum is 75 per cent of that total;
- (2) If the total of the applicant's contributions to all candidates is more than \$300.00 but not more than \$1,000.00, the maximum is \$225.00 plus 50 per cent of the difference between that total and \$300.00; or
- (3) If the total of the applicant's contributions to all candidates is more than \$1,000.00, the maximum is the lesser of,
  - (a) \$575.00 plus 33 1/3 per cent of the difference between that total and \$1,000.00, or
  - (b) \$1,000.00.

## THE CITY OF WINNIPEG FORM 1 TO THE CAMPAIGN EXPENSES AND CONTRIBUTIONS

**BY-LAW NO. 5550/90 AS AMENDED**

**REFERENCE : SECTION 5**

<b>For Office Use Only</b>	
<input type="checkbox"/>	Mayor
<input type="checkbox"/>	Councillor

### NOTICE OF REGISTRATION

NOTE: This form must be fully completed, signed by the candidate and filed with the Senior Election Official in accordance with the following:

In a regular election,

- a) for mayoralty candidates, between May 1 and the close of the Nomination Period, 36 days prior to the election date.
- b) for councillor candidates, between June 30 and the close of the Nomination Period, 36 days prior to the election date.

In an election to fill a vacancy,

- a) for both mayoralty and councillor candidates, between the day on which a warrant to hold the election is issued, and the close of the Nomination Period, 36 days prior to the election date.

### 1. TYPE OF REGISTRATION

<input type="checkbox"/>	NEW / INITIAL REGISTRATION
<input type="checkbox"/>	CHANGING / AMENDING REPORTED INFORMATION - Changes must be submitted immediately / The form must be fully completed.

### 2. NAME OF CANDIDATE

Name of candidate (same will appear on the Nomination Form)		
Permanent mailing address		
		Postal Code
Phone (Business)	Phone (Home)	Fax Number

### 3. NAME OF OFFICE

Name of the office of which the candidate is seeking election	Ward Name (if councillor candidate)
---	-------------------------------------

**4. OFFICIAL AGENT (SECTION 7)**

Name	Mailing Address / Postal Code	
Phone (Business)	Phone (Home)	Fax Number

**5. AUDITOR (SECTION 14(3)) (Must be a professional accountant who is a registered member in good standing of an institute, association or society of accountants established by an Act of the Legislature)**

Firm or individual Accountant	
Name of Registering Institute / Association	
Contact Person	Mailing Address / Postal Code
Phone (Business)	Fax Number

**6. DEPOSIT OF CONTRIBUTIONS (add additional names of the designated signing officers on separate sheet)**

Name and address of financial institution	Account number(s)
Signing Officer	Mailing Address / Postal Code
Signing Officer	Mailing Address / Postal Code
Signing Officer	Mailing Address / Postal Code
Signing Officer	Mailing Address / Postal Code

**7. PLACE WHERE CANDIDATE'S RECORDS ARE MAINTAINED (e.g. office, home, etc.)**

Name of Place	
Contact Person	Mailing Address / Postal Code

**8. DECLARATION OF CANDIDATE**

I, \_\_\_\_\_ a candidate nominated  
(NAME OF CANDIDATE)

for election as a member of Council for the City of Winnipeg at this election, do solemnly declare:

- (a) That the information contained in this Notice of Registration is to the best of my knowledge and information true and correct.
- (b) That I am a Canadian citizen and of the full age of eighteen years.
- (c) That I am not subject to any disqualification for the office for which I am a candidate under *The Municipal Councils and School Boards Elections Act*, *The City of Winnipeg Charter*, or any other Act of the Manitoba Legislature.
- (d) That I am an elector of the local authority aforesaid, being the City of Winnipeg.
- (e) My place of residence is \_\_\_\_\_

And I make this solemn declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath, and by virtue of *The Canada Evidence Act*.

Declared before me at the City of Winnipeg  
in the Province of Manitoba

this \_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
(SIGNATURE OF CANDIDATE)

\_\_\_\_\_  
COMMISSIONER FOR OATHS  
(or as the case may be)

\_\_\_\_\_  
(SIGNATURE OF  
SENIOR ELECTION OFFICIAL)

\_\_\_\_\_  
DATE

Explanation for Candidates

- Section (b) requires that a candidate be eighteen years of age at the date of the election.
- Section (d) requires that the candidate is an elector of the City of Winnipeg as defined in Sections 21 and 22 of *The Municipal Councils and School Boards Elections Act*.
- While a candidate need not reside in the Ward in which he/she is nominated, the nominee must either
  - (a) reside in the authority, i.e. within the City of Winnipeg, or
  - (b) reside within the Province of Manitoba and own property within the authority.

**NOTE: It is the responsibility of the person applying for registration to file a complete and accurate Notice of Registration. Failure to provide the necessary and accurate information required in this application will cause the registration application to be declared invalid.**

*amended 6665/95 (Form 1 in its entirety)*

**THE CITY OF WINNIPEG  
FORM 2 TO THE CAMPAIGN EXPENSES AND CONTRIBUTIONS  
BY-LAW NO. 5550/90 AS AMENDED  
REFERENCE : SECTION 11(2)**

**CERTIFICATE OF AUTHORITY TO INCUR CAMPAIGN EXPENSES**

For the campaign period:	From	To
--------------------------	------	----

Surname of Registered Candidate	Given Names
Candidate for office of	Ward Name
Address of residence	

**PERSON AUTHORIZED TO INCUR CAMPAIGN EXPENSES**

Name
Address of residence

\_\_\_\_\_ DATE

\_\_\_\_\_ SIGNATURE OF CANDIDATE

**THE CITY OF WINNIPEG  
FORM 3 TO THE CAMPAIGN EXPENSES AND CONTRIBUTIONS  
BY-LAW NO. 5550/90 AS AMENDED  
REFERENCE : SECTION 11(6)**

**CERTIFICATE OF MAXIMUM CAMPAIGN EXPENSES**

For the campaign period:	From	To
--------------------------	------	----

Municipality	
Office	Ward Name

I certify that the maximum amount of campaign expenses for the above mentioned office is:
---

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE OF CAMPAIGN EXPENSES  
AND CONTRIBUTIONS OFFICER

Copy to be sent by registered mail or delivered to candidates for such office.

**THE CITY OF WINNIPEG  
FORM 4 TO THE CAMPAIGN EXPENSES AND CONTRIBUTIONS  
BY-LAW NO. 5550/90 AS AMENDED  
REFERENCE : SECTION 14**

**FINANCIAL STATEMENT AND AUDITOR'S REPORT**

For the campaign period: From: \_\_\_\_\_ To: \_\_\_\_\_

**NAME OF CANDIDATE AND OFFICE**

FULL NAME OF REGISTERED CANDIDATE		
PERMANENT MAILING ADDRESS - STREET NUMBER & NAME, P.O. BOX, APT. #		
CITY, PROVINCE		POSTAL CODE
BUSINESS PHONE NUMBER	FAX NUMBER	HOME PHONE
NAME OF OFFICE FOR WHICH THE CANDIDATE SOUGHT ELECTION		WARD NAME

**SUMMARY OF CAMPAIGN INCOME AND EXPENSES**

Campaign Expense Limitation (as per form 3)		\$ _____
Campaign Expenses Subject to Limitation (as per Statement of Income and Expenses)		\$ <u>0</u>
 Total Campaign Income	 \$ _____ (A)	
Total Period Expenses	\$ _____ (B)	
 Campaign Period Surplus (Deficit) ( (A) - (B) )		 \$ <u>0</u>

**DECLARATION OF CANDIDATE**

I, \_\_\_\_\_ hereby declare that  
Name of Candidate

to the best of my knowledge and belief this financial statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this  
\_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
(Signature of Campaign Expenses and Contributions Officer)

\_\_\_\_\_  
(Signature of Candidate)

**DECLARATION OF OFFICIAL AGENT**

I, \_\_\_\_\_ have prepared this Campaign Period Return  
Name of Official Agent

and supporting schedules as set out herein for \_\_\_\_\_ and hereby  
Name of Candidate

declare that to the best of my knowledge and belief this Financial Statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this  
\_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
(Signature of Campaign Expenses and Contributions Officer)

\_\_\_\_\_  
(Signature of Official Agent)



STATEMENT OF INCOME AND EXPENSES

FROM \_\_\_\_\_

TO \_\_\_\_\_

**INCOME**

Candidate's Surplus from immediately preceding Election Released by the Senior Election Official	\$ _____
Contributions (attached Schedule 1)	\$ _____
Fund-Raising Event (attached Schedule 2)	\$ _____
Interest Income	\$ _____
Other (Please Specify):	\$ _____

\_\_\_\_\_  
\_\_\_\_\_

**TOTAL INCOME** \$ 0

**EXPENSES**

(ATTACH SCHEDULE 4; IF ANY DISPUTED CLAIMS, ATTACH SCHEDULE 5)

**CAMPAIGN EXPENSES SUBJECT TO LIMITATION**

Advertising	\$ _____
Bank Charges	\$ _____
Brochures	\$ _____
Candidate's Personal Expenses	\$ _____
Furniture	\$ _____
Insurance and Utilities	\$ _____
Meetings, Social Functions, Rallies	\$ _____
Office Rent	\$ _____
Office Supplies	\$ _____
Postage	\$ _____
Salaries and Benefits	\$ _____
Signs	\$ _____
Stationery	\$ _____
Telephone	\$ _____
Travel	\$ _____
Other (Please Specify):	\$ _____

\_\_\_\_\_  
\_\_\_\_\_

**SUB-TOTAL CAMPAIGN EXPENSES SUBJECT TO LIMITATION** \$ 0

**STATEMENT OF INCOME AND EXPENSES (continued)**

Total Income (reported from previous page) \$ 0

Sub-total Campaign Expenses Subject to Limitation \$ 0

**CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION**

Accounting and Audit \$ \_\_\_\_\_

Court action commenced under Part 9,  
*The Municipal Councils and School Boards Elections Act* \$ \_\_\_\_\_

Expense in holding a Fund-Raising Function \$ \_\_\_\_\_

Interest on Loans \$ \_\_\_\_\_

Recount \$ \_\_\_\_\_

SUB-TOTAL CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION \$ 0

TOTAL PERIOD EXPENSES \$ 0

CAMPAIGN PERIOD SURPLUS (DEFICIT) \$ 0

**SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY**

**CONTRIBUTIONS  
(FOR USE BY MAYORALTY CANDIDATES ONLY (Section 8(7)(a)))**

**PART I**

From a single source totalling more than \$250 (complete Part III below) \$ \_\_\_\_\_

Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II below) \$ \_\_\_\_\_

Total of single source contributions of \$250 or less \$ \_\_\_\_\_

From candidate and/or candidate's spouse \$ \_\_\_\_\_

**TOTAL CONTRIBUTIONS** \$ 0

**CONTRIBUTIONS IN EXCESS OF LIMITS**

Excess cash over \$1500 - Returned to the Contributor \$ \_\_\_\_\_

Excess over \$1500 in the form of Goods and Services - Returned to the Contributor \$ \_\_\_\_\_

**CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL**

From anonymous sources \$ \_\_\_\_\_

Cash and/or Goods and Services in excess of limits \$ \_\_\_\_\_

**TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL** \$ 0

**SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY (continued)**

**PART II**

**List of Contributions in the Form of Goods and Services**

Itemized list:

Nature of Goods and Services	Supplier	Value

Sub-total from supplementary list if used \$ \_\_\_\_\_

Total (sum to equal D above) \$ 0

SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY (continued)

PART III

List of Contributors from Single Source totalling more than \$250

Name	Address	Value

TOTAL

\$ \$0.00

**SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY**

**CONTRIBUTIONS  
(FOR USE BY COUNCILLOR CANDIDATES ONLY (Section 8(7)(b)))**

**PART I**

From a single source totalling more than \$250 (complete Part III below) \$ \_\_\_\_\_

Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II below) \$ \_\_\_\_\_

Total of single source contributions of \$250 or less \$ \_\_\_\_\_

From candidate and/or candidate's spouse \$ \_\_\_\_\_

**TOTAL CONTRIBUTIONS** \$ 0

**CONTRIBUTIONS IN EXCESS OF LIMITS**

Excess cash over \$750 - Returned to the Contributor \$ \_\_\_\_\_

Excess over \$750 in the form of Goods and Services - Returned to the Contributor \$ \_\_\_\_\_

**CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL**

From anonymous sources \$ \_\_\_\_\_

Cash and/or Goods and Services in excess of limits \$ \_\_\_\_\_

**TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL** \$ 0

**SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)**

**PART II**

**List of Contributions in the Form of Goods and Services**

Itemized list:

Nature of Goods and Services	Supplier	Value

Sub-total from supplementary list if used

\$ \_\_\_\_\_

Total (sum to equal D above)

\$ 0

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)

PART III

List of Contributors from Single Source totalling more than \$250

Name	Address	Value

TOTAL \$     \$0.00



**SCHEDULE 2**

FUND RAISING EVENTS INCOME STATEMENT  
(ATTACH A DIFFERENT SCHEDULE FOR EACH EVENT HELD)

FUND RAISING FUNCTION

Date: \_\_\_\_\_

Held at: \_\_\_\_\_

Type of Function: \_\_\_\_\_

\*Admission Charge (per person) \$ \_\_\_\_\_ A

Number of Tickets sold \$ \_\_\_\_\_ B

REVENUE FROM FUNCTION ( A X B ) \$ \_\_\_\_\_

Other Revenue (Please Specify): \$ \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TOTAL GROSS REVENUE: \$ \_\_\_\_\_ 0

\* If admission charge per person is not consistent, please provide complete breakdown of all ticket sales.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**SCHEDULE 5**

STATEMENT OF DISPUTED CLAIMS  
(ATTACH LIST IF MORE SPACE REQUIRED)

	Amount included in expenses	Disputed amount			
<table border="1" style="width: 100%;"><tr><td style="height: 40px; vertical-align: top;">Name &amp; Address of Claimant</td></tr><tr><td style="height: 40px; vertical-align: top;">Nature of Expense</td></tr><tr><td style="height: 40px; vertical-align: top;">Reason for Dispute</td></tr></table>	Name & Address of Claimant	Nature of Expense	Reason for Dispute	\$ _____	\$ _____
Name & Address of Claimant					
Nature of Expense					
Reason for Dispute					
<table border="1" style="width: 100%;"><tr><td style="height: 40px; vertical-align: top;">Name &amp; Address of Claimant</td></tr><tr><td style="height: 40px; vertical-align: top;">Nature of Expense</td></tr><tr><td style="height: 40px; vertical-align: top;">Reason for Dispute</td></tr></table>	Name & Address of Claimant	Nature of Expense	Reason for Dispute	\$ _____	\$ _____
Name & Address of Claimant					
Nature of Expense					
Reason for Dispute					
<table border="1" style="width: 100%;"><tr><td style="height: 40px; vertical-align: top;">Name &amp; Address of Claimant</td></tr><tr><td style="height: 40px; vertical-align: top;">Nature of Expense</td></tr><tr><td style="height: 40px; vertical-align: top;">Reason for Dispute</td></tr></table>	Name & Address of Claimant	Nature of Expense	Reason for Dispute	\$ _____	\$ _____
Name & Address of Claimant					
Nature of Expense					
Reason for Dispute					
<b>TOTAL FROM SUPPLEMENTARY LIST ATTACHED</b>	\$ _____	\$ _____			
<b>TOTAL DISPUTED CLAIMS</b>	\$ <b>0</b>	\$ <b>0</b>			

**AUDITOR'S REPORT  
REFERENCE: SECTION 14**

Name of Candidate \_\_\_\_\_

Official Agent \_\_\_\_\_

Office for which candidate sought election \_\_\_\_\_

Ward Name \_\_\_\_\_

I / we have examined the statements of assets and liabilities and income and expenses of \_\_\_\_\_ candidate, for the campaign period ending \_\_\_\_\_

(Name of Candidate)

\_\_\_\_\_ relating to the election held on \_\_\_\_\_

(Date Campaign Period Ended) (Date of Election)

These statements, which have not been , and were not intended to be, prepared in accordance with Canadian generally accepted accounting principles, are solely for the information and use of the Campaign Expenses and Contributions Officer for the City of Winnipeg for complying with By-law NO. 5550/90 of the City of Winnipeg Charter. The statements are not intended to be and should not be used by anyone other than the specified users or for any other purposes.

These financial statements are the responsibility of the registered candidate and official agent. My/our responsibility is to express an opinion on these financial statements based on my/our audit.

My/our examination was made in accordance with generally accepted auditing standards. Those standards require that I/we plan and perform an audit to obtain responsible assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes such test and other procedures as I/we consider necessary in the circumstances except as explained in the following paragraph.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, receipts and disbursements for the campaign period. Accordingly, my/our verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of the candidate, in accordance with established accounting procedures and I/we was/were not able to determine whether any adjustments might be necessary to receipts and disbursements.

In my/our opinion, except for the effect of adjustments, if any, which I/we might have determined to be necessary had I/we been able to satisfy myself/ourselves as to the completeness of the records as described in the preceding paragraph, these statements present fairly the information contained in the accounting records on which the statements are based in accordance with prescribed accounting treatment.

\_\_\_\_\_  
(Date) (Signature of Auditor)



The City of Winnipeg  
**CAMPAIGN CONTRIBUTIONS**  
**RECEIPT / REBATE APPLICATION FORM**  
*NOT VALID FOR INCOME TAX PURPOSES*

**FORM 5**

RECEIPT NO. \_\_\_\_\_

**CANDIDATE SECTION (to be completed by the Candidate)**

Name of Office for which Candidate is Registered: \_\_\_\_\_

Name of Candidate (please print): \_\_\_\_\_

Signature of Candidate or Representative: \_\_\_\_\_

Date Issued: Year \_\_\_\_\_ Month \_\_\_\_\_ Day \_\_\_\_\_ Contribution Amount \$ \_\_\_\_\_

Received from:     Individual     Organization     Corporation     Trade Union

Specify:     Monetary     Goods/Services/Advertising

Full name and mailing address of contributor (please print)

Name  
 .....

Address  
 .....

City \_\_\_\_\_ Postal Code \_\_\_\_\_  
 .....

**TO APPLY FOR A REBATE, THE CONTRIBUTOR MUST SIGN AND RETURN THIS FORM**  
**TO THE CITY CLERK, CITY OF WINNIPEG, CITY CLERK'S DEPARTMENT,**  
**COUNCIL BUILDING, 510 MAIN STREET, WINNIPEG, MB R3B 1B9.**

**For further information, please call 311 to reach the City of Winnipeg's Contact Centre**

**DEADLINE FOR RECEIPT OF APPLICATION IS 4:30 P.M. MAY 17, 2010.**

**CONTRIBUTOR SECTION (to be completed by Contributor)**

SIGNATURE OF CONTRIBUTOR \_\_\_\_\_ TELEPHONE NUMBER \_\_\_\_\_

***REBATES WILL BE MAILED OUT IN THE SUMMER OF 2010. A REBATE IS PAYABLE ONLY IF THE CANDIDATE HAS FILED AN AUDITED FINANCIAL STATEMENT AND COMPLIED WITH ALL BY-LAW REQUIREMENTS.***

Personal information on this form is collected under the authority of *The City of Winnipeg Charter* and will be used for the purposes of recording your campaign contribution and administering the rebate program only.

WHITE copy to be given to Contributor

YELLOW copy to be retained by Official Agent

PINK copy to be submitted with Audited Financial Statement